

INTRODUCTION

In 2007 Caterpillar Inc. (Cat) is introducing on-highway automatic transmissions. The new Cat "Automatic Transmission – 1" (AT-1) specification defines the requirements for fluids that are acceptable for use with extended fluid drain interval programs for Cat on-highway automatic transmissions.

APPLICATION

Oils meeting Cat AT-1 specification are applicable to use in all Cat on-highway automatic transmissions. The Cat AT-1 specification is not the replacement or the equivalent of Cat TO-4 specification. Oils meeting Cat AT-1 specification can not be used in the applications where TO-4 fluids are recommended, and oils meeting Cat TO-4 specification can not be used in applications where Cat AT-1 fluids are recommended.

OBJECTIVE

The requirements described herein communicate the minimum performance requirements for a lubricant that is intended for use in Cat on-highway automatic transmissions that are on the extended drain interval programs, and for wherever Cat AT-1 fluids are recommended.

GENERAL DESCRIPTION

The Cat AT-1 requirements are divided into seven principal areas: 1) general requirements, 2) physical properties, 3) elastomer compatibility, 4) oxidation stability, 5) viscometrics, 6) wear properties, 7) friction material performance.

NOTICE

1. This document contains all of the performance requirements that a finished lubricant must meet before the lubricant can legitimately be marketed as meeting the Cat AT-1 specification. Caterpillar Inc. will not monitor or verify the accuracy of claims or advertising suggesting compliance with Cat AT-1 specification made by other manufacturers or suppliers of fluids. Each supplier is responsible for the performance of their own product and the associated liabilities.
2. Refer to your specific operation manual for detailed application guidance

Caterpillar reserves the right to change this document without notice. The implementation date of this specification is February 1, 2007.

INTRODUCTION	DATE Feb. 1, 2007	SECTION Introduction
--------------	----------------------	-------------------------

SUMMARY AND TABLE OF CONTENTS:

The following information is a summary of the performance requirements that define a fluid that meets the Caterpillar AT-1 transmission and drive train fluid requirements. Information regarding the appropriate test methods and the applicable limits for each can be found in the referenced section.

SECTION 1 - GENERAL REQUIREMENTS	(Page 3)
SECTION 2 – CHEMICAL AND PHYSICAL PROPERTIES	(Page 4)
SECTION 3 - ELASTOMER COMPATIBILITY	(Page 7)
SECTION 4 - OXIDATION STABILITY	(Page 9)
SECTION 5 - VISCOMETRIC PROPERTIES	(Page 10)
SECTION 6 - WEAR PROPERTIES	(Page 11)
SECTION 7 - FRICTION PROPERTIES	(Page 12)

INTRODUCTION	DATE Feb. 1, 2007	SECTION Introduction
--------------	----------------------	-------------------------

GENERAL REQUIREMENTS

In order to be marketed as meeting the Cat AT-1 specification, the automatic transmission fluid must meet the criteria listed in sections 2 through 7 of the Cat AT-1 specification and in addition hold a license of one of the following industry specifications:

- 1) Ford MERCON®
- 2) GM DEXRON® III revision H
- 3) Transmission Engineering Specification TES-389 by Allison Transmission

CHEMICAL AND PHYSICAL PROPERTIES	DATE Feb. 1, 2007	SECTION 1
----------------------------------	----------------------	--------------

HOMOGENEITY

1. Scope:

This method will be used to evaluate the compatibility of additives with the oil base stock of the Cat AT-1 candidate fluid.

2. Test Procedure:

Place a 35 ml sample of the test fluid into a 50 mL centrifuge tube. Stopper the sample and hold the sample at -32°C for a minimum of 24 hours. Allow the sample to reach room temperature and then centrifuge for 30 minutes at 6000 g. Examine the tube containing the test sample for sedimentation or separation of insoluble material.

3. Acceptance Limits:

No sedimentation, precipitation or separation of insoluble material is allowed.

CHEMICAL AND PHYSICAL PROPERTIES	DATE	SECTION
	Feb. 1, 2007	2

FLASH AND FIRE POINTS

1. Scope:

This test method will be used to evaluate the flash and fire points of Cat AT-1 candidate fluid.

2. Test Procedure:

Fire point ASTM D92, Standard Test Method for Flash and Fire Points by Cleveland Open Cup Tester.

Flash point - ASTM D93, Standard Test Method for Flash Point by Pensky-Martens Closed Cup Tester.

3. Acceptance Limits:

ASTM D92: 230°C min

ASTM D93: 250°C min

CHEMICAL AND PHYSICAL PROPERTIES	DATE	SECTION
	Feb. 1, 2007	2

WATER CONTENT

1. Scope:

This test method will be used to determine the amount of water in the Cat AT-1 candidate fluid.

2. Test Procedure:

The ASTM D6304 Standard Test Method for Determination of Water in Petroleum Products, Lubricating Oils, and Additives by Coulometric Karl Fisher Titration.

3. Acceptance Limits:

The water content as measured by ASTM D6304 must not exceed 0.1 volume percent.

CHEMICAL AND PHYSICAL PROPERTIES	DATE	SECTION
	Feb. 1, 2007	2

ELASTOMER COMPATIBILITY

1.0 Scope

To measure the compatibility of the candidate fluid with elastomeric materials typically used Caterpillar Inc. on-highway automatic transmissions.

2.0 Test Methods

Test according to ASTM D2000 unless otherwise specified. The test materials will be in accordance with ASTM D3182. Data shall be reported in a format similar to table below. Table shall include numeric values and Pass / Fail summary for each characteristic. Report shall also include rubber material batch and/or lot #.

3.0 Acceptance Limits

Duration (hours)	Material	Caterpillar Material Specification	Candidate Fluid Temperature (°C)	Hardness Change (pts)		Tensile Strength Change (%)	Elongation Change (%)	Volume Change (%)	
				MIN	MAX	MAX	MAX	MIN	MAX
70	NBR	1E0741	120	-10	0	-10	-10	0	5
70	FKM	1E0944	150	-5	5	-10	-30	0	5
70	FKM	1E1039B	150	-5	0	-15	-25	0	5
70	AEM	1E1798A	150	-15	0	-5	-10	0	20
70	HNBR	1E2719A	120	-5	5	-5	-5	0	5
70	FKM	1E2865A	150	-5	5	-20	-20	0	5

Duration (hours)	Material	Caterpillar Material Specification	Candidate Fluid Temperature (°C)	Hardness Change (pts)		Tensile Strength Change (%)	Elongation Change (%)	Volume Change (%)	
				MIN	MAX	MAX	MAX	MIN	MAX
168	NBR	1E0741	120	-10	0	-10	-15	0	5
168	FKM	1E0944	150	-5	5	-10	-40	0	5
168	FKM	1E1039B	150	-5	0	-30	-30	0	5
168	AEM	1E1798A	150	-15	0	-5	-20	0	20
168	HNBR	1E2719A	120	-5	5	-10	-10	0	5
168	FKM	1E2865A	150	-5	5	-30	-30	0	5

ELASTOMER COMPATIBILITY	DATE Feb. 1, 2007	SECTION 3
-------------------------	----------------------	--------------

4.0 Test Laboratory Requirements

The test lab must have the following minimum certifications:

American Association for Laboratory Accreditation (A2LA) accredited for Mechanical and Chemical Testing.

ELASTOMER COMPATIBILITY	DATE Feb. 1, 2007	SECTION 3
-------------------------	----------------------	--------------

OXIDATION STABILITY

1. Scope:

This test method will be used to evaluate the thermal oxidation stability of the Cat AT-1 candidate fluid.

2. Test Procedure:

ABOT (Same as MERCON®)

Cycling Test (Same as Appendix F, 4L60 in DEXRON® III, Revision H)

3. Acceptance Limits:

ABOT: 1) Delta IR less than 5.0
 2) Delta TAN: less than 1.0

Cycling Test: 1) TAN Increase less than 0.5
 2) Carbonyl Absorbance increase less than 0.1

OXIDATION STABILITY	DATE Feb. 1, 2007	SECTION 4
---------------------	----------------------	--------------

VISCOMETRIC PROPERTIES

1. Scope:

This test method will be used to evaluate the ability of Cat AT-1 fluids to provide acceptable viscometric properties in cold and hot ambient conditions when used in Cat on-highway automatic transmissions.

2. Test Procedures:

2.1 ASTM D445-06 Standard Test Method for Kinematic Viscosity of Transparent and Opaque Liquids (and Calculation of Dynamic Viscosity).

2.2 ASTM D2983-04a Standard Test Method for Low-Temperature Viscosity of Lubricants Measured by Brookfield Viscometer.

2.3 ASTM D4683-04 Standard Test Method for Measuring Viscosity at High Shear Rate and High Temperature by Tapered Bearing Simulator.

3. Acceptance Limits:

Test Method	Test Temperature	Description	Acceptance Limits
D445	100°C	Kinematic Viscosity	7.0 cSt Minimum
D2983	-40°C	Brookfield Viscosity,	8,700 cP maximum
D4683	150 °C	HTHS	2.4 10 ⁶ s ⁻¹ minimum
CEC L-45	100 °C	KRL Shear stability, 40h at 100°C	Viscosity loss not more than 5% (Kinematic viscosity measured at 100°C with ASTM D445)

VISCOMETRIC PROPERTIES	DATE Feb. 1, 2007	SECTION 5
------------------------	----------------------	--------------

WEAR PROPERTIES

1.0 Scope:

This test method will be used to evaluate the scuffing load capacity of Cat AT-1 fluids.

2.0 Test Procedure:

ASTM D5182, Standard Test Method for Evaluating the Scuffing Load Capacity of Oils (FZG Visual Method), 1450RPM, 8.3 m/s, 90C temp.

4.0 Acceptance Limits:

Fail Stage 11

WEAR PROPERTIES	DATE Feb. 1, 2007	SECTION 6
-----------------	----------------------	--------------

Friction Properties

Introduction

In addition to being licensed as a Ford MERCON®, GM DEXRON®-III(H) or an Allison TES-389 fluid, the test fluid must perform satisfactorily in the friction test described in this section.

The test described herein requires running the test fluid and a reference fluid with each of two friction materials on a qualified LINK M1158 Oil / Friction Test Machine. The results from the reference and test runs are to be reported and plotted in standard LINK M1158 report format, with the exception that friction coefficient limits for AT-1 fluid are defined for both friction materials only at 25 m/s and 1050 kPa.

The test will be used to evaluate the ability of an automatic transmission fluid to provide acceptable friction performance characteristics when used in various oil-cooled friction mechanisms in Caterpillar transmissions or wherever AT-1 fluid is specified for service fill.

Any question pertinent to the test method shall be directed to:

Cat AT-1 Oil/Friction Test
Caterpillar Inc., Component and Systems Development Division
Technical Center

(For First Class Mail:)
P. O. Box 1875
Peoria, IL 61656-1875
Phone (309) 578-8309 or 578-9229

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

1. Scope
 - 1.1 Test Method for Evaluation of Lubricant and Frictional Characteristics
 - 1.2 Acceptance Criteria for Oil Evaluation
 - 1.3 Safety Practices
 - 1.4 Revisions
2. Terminology
 - 2.1 Dynamic Coefficient
 - 2.2 Static Coefficient
 - 2.3 Initial Speed
 - 2.4 Energy Limit
 - 2.5 Phase
 - 2.6 Sequence
 - 2.7 Run
 - 2.8 Test
3. Summary of Test Method
 - 3.1 Uses Link M1158 Oil/Friction Test Machine
 - 3.2 Test Location
 - 3.3 Characteristics Measured
 - 3.4 Materials Used
4. Significance and Use
 - 4.1 Measures Frictional Characteristics
 - 4.2 Test Results are Compared With Reference Test
5. Interferences
 - 5.1 Machine Configuration Must Not Be Changed
 - 5.2 No Air Leaks Allowed
 - 5.3 No Major Oil Leaks Allowed
 - 5.4 Constants Must Be True
6. Apparatus
 - 6.1 Link M1158 Oil/Friction Test Machine
 - 6.2 Disc 101-8535 and Plate 1Y0726; Disc 190-3534 and Plate 1Y0726
 - 6.3 Surface Roughness Measured By Supplier
 - 6.4 Special Micrometer for Thickness Measurements
7. Preparation of Apparatus
 - 7.1 Drain and Refill
 - 7.2 Filtration

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

Automatic Transmission Fluid Requirements

- 7.3 Disc and Plate Installation
- 7.4 Selection and Definition of Sequences
- 7.5 General Instructions
- 7.6 Cooling Flow and Temperature
- 8. Procedure
 - 8.1 Signal Conditioner Calibration Check
 - 8.2 Force Output Calibration
 - 8.3 Test Directory, Edit and Select
 - 8.4 Run Subdirectory, Select and Edit
 - 8.5 Select Sequence, Disc and Plate Software Options
 - 8.6 Install Disc and Plate
 - 8.7 Start Run
 - 8.8 Inspect and Reinstall Disc, and Resume Run as Required
 - 8.9 Resume Test Sequence/Test Completion
 - 8.10 Generate Report
 - 8.11 Store Test Data on Floppy Discs
- 9. Calculation and Interpretation of Results
 - 9.1 Equations and Constants
 - 9.2 Oil Requirements
 - 9.3 Repeating Runs
 - 9.4 Test Discontinuation
- 10. Report
 - 10.1 Submission of Reference Reports
 - 10.2 Data Presentation
- 11. Precision and Bias

FRICITION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
----------------------	----------------------	--------------

1.0 Scope:

- 1.1 This procedure defines the test method for partial evaluation of the lubrication and frictional performance characteristics of automatic transmission fluid used in Caterpillar transmissions calling for Caterpillar AT-1 automatic transmission fluid.
- 1.2 This procedure defines frictional measurements and related acceptance criteria required for designation as an AT-1 fluid.
- 1.3 This standard may involve hazardous materials, operations and equipment. This standard does not purport to address all of the safety problems associated with its use. It is the responsibility of the user to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.
- 1.4 These requirements are subject to revision at any time by Caterpillar Inc.

2.0 Terminology:

- 2.1 Average Dynamic Coefficient of Friction, μ_d -- The coefficient value calculated from initial speed, stop time and unit load. This calculation is made as though the coefficient were constant throughout the engagement.
- 2.2 Static Coefficient of Friction, μ_s -- The coefficient value calculated from unit load and the torque measured at the instant that sliding velocity reaches zero.
- 2.3 Initial Speed -- The surface speed of the friction disc at the mean radius at the start of an engagement.
- 2.4 Energy Limit -- The highest speed at which the friction material/oil/reaction plate will operate in the specified sequences and produce uniform results consistent with the results produced at lower speeds. In most instances the limit can be determined visually from the torque trace, but for oil certification with this specification, the limit will always be determined by the computer.

2.4.1 Visual Determination: The shape of the torque curve is indicative of the conditions at the lubricated interface of the friction disc and reaction plate. In normal operation, the torque makes a smooth, repeatable transition from the initial engagement through lockup. When the energy limit is reached there will usually be a hump or irregular shape in the torque curve revealing that there are unstable or destructive changes occurring at the friction interface. This condition is indicated by a significant change in the coefficient of friction. Figures 1 and 2 show typical torque curves both in normal operation and above the energy limit.

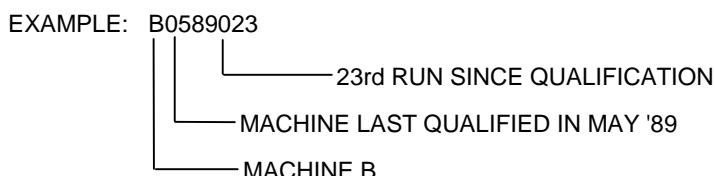
2.4.2 Computerized Determination: The computer will check for changes in μ_d (average dynamic coefficient of friction) during the phases after phase 20. The μ_d of each cycle will be compared with the mean μ_d of the previous phase. A change of 12% or more will be taken as an indication that the energy limit has been reached. The dynamic coefficient was chosen

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

because minor inaccuracies in the speed or pressure settings will not influence its value, and, by using a baseline from the previous phase, the check can be applied to all cycles.

- 2.5 Phase -- A specified number of engagements at a given unit pressure and initial speed.
- 2.6 Sequence -- A specific series of phases.
- 2.7 Run -- The operation of the M1158 machine through a sequence.

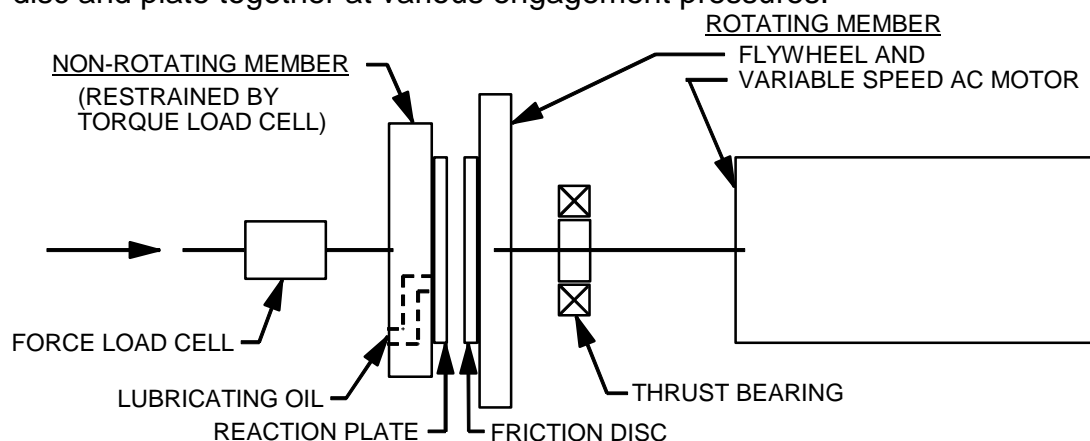
2.7.1 Each run will be identified using the following numbering system: first character - letter assigned to the specific M1158 machine; next four digits - month and year of last machine qualification; last three digits - number of runs since last qualification



- 2.8 Test -- The two runs required for oil certification.

3.0 Summary of Test Method:

3.1 This procedure utilizes the LINK Model 1158 Oil/Friction Test Machine, which is an inertia dynamometer in which the kinetic energy of a freely rotating mass is absorbed by the reaction of a rotating friction disc and an opposing stationary steel plate. A flywheel is accelerated to predetermined speeds and brought to a stop by bringing the disc and plate together at various engagement pressures.



SCHEMATIC OF THE LINK M1158 OIL/FRICTION

3.2 This apparatus will be used to measure the characteristics itemized below on one friction material, as these characteristics are influenced by the lubricating oil:

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

- Average Dynamic Coefficient of Friction
- Static Coefficient of Friction
- Wring-in coefficient ratio
- Energy Capability
- Wear Resistance

3.3 The friction test described in this section must be performed on a qualified LINK M1158 Oil / Friction Test Machine. Southwest Research Institute and The Lubrizol Corporation both maintain qualified test stands.

3.4 Materials Used

3.4.1 A complete test consumes the following materials

- 2 X Friction Disc 101-8535
- 2 X Friction Disc 190-3534
- 4 X Plate 1Y0726
- 4 X filter element 8J1600
- 30 gallons Caterpillar ATF Reference Fluid CT5797
- 30 gallons Test fluid

3.4.2 Because of the restrictions on the material in each kit, all performance comparisons for evaluating a test fluid will be made using discs from the same manufacturing lot and reaction plates with the same range of surface finish variation.

4.0 Significance and Use:

4.1 This test method is used to determine comparative values for static coefficients of friction, wring-in friction coefficient ratios, energy capability and wear properties of a friction disc and opposing plate when tested under prescribed conditions. The lubricating oil used can influence the results. The procedure and values established are for evaluating the suitability of these fluids.

4.2 The results of a test on the M1158 machine, if they are within the allowed ranges of variation from the reference fluid test made from the same part number friction disc and reaction plate, are necessary designate a test fluid as an AT-1 oil.

5.0 Interferences:

5.1 Each M1158 machine is made with identical components to eliminate functional differences between the machines.

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

- 5.1.1** Replacement of the air valves or air lines with components of different size will change the response of the machine.
- 5.1.2** Changes in bearing drag or windage losses will change the effective inertia of the machine.
- 5.2** An air leak from the tank, lines, valves or rotochamber will change the response and loading of the machine.
- 5.3** An oil leak of more than one liter in any run will significantly reduce the volume of oil being tested.

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

5.4 Items which are stated as constants must be true, viz.:

- Cooling oil temp and flow
- Filtration - 8J1600 Filter
- Oil Capacity - total system volume
- Friction disc size - mean radius
- Reaction plate surface finish
- Calibration of instrumentation - torque, load, flow, temperature, time, speed
- Cycle time
- Retraction clearance

6.0 Apparatus:

- 6.1** This procedure utilizes the LINK Model 1158 Oil/Friction Test Machine available from Link Engineering Company, Detroit, Michigan. This specific model and manufacturer must be used for reproducibility. The factors which are critical are: effective inertia, coast-down time, rate of pressure rise at the beginning of engagement, cooling flow distribution, response of the transducers and signal conditioners, the mass elastic system of the machine and its components and the method of heating the lube oil.
- 6.2** The friction discs are supplied by Wellman Friction Products, and the reaction plates by Raybestos Products Company. The parts are available through the Caterpillar parts distribution system.
- 6.2.1** The friction material is identified by manufacturing lot. The reaction plates are closely controlled for surface finish and both parts are certified for performance by Caterpillar Inc.
- 6.2.2** Only the following combinations of friction disc and reaction plate are to be used: 101-726 Friction Disc (high-energy paper) with 1Y0726 Plate, and 190-3534 Friction Disc (extended-life friction material) with 1Y0726 Plate.
- 6.3** The surface roughness (roughness average; refer to 1E2122) of each plate will be measured circumferentially by the supplier in four places. The average roughness will be within the roughness range specified on the drawing. The side of the plate which is to be in contact with the friction disc will be marked with the average of the roughness measurements (microns) from that surface; the other side of the plate will be marked with the part number and the words: "Do Not Use This Side". The markings on the plates will be of smear-resistant ink.
- 6.4** Thickness measurements of the disc and plate are to be taken with a micrometer which has a spindle and anvil with contact faces approximately 19.0 mm diameter.

FRICITION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
----------------------	----------------------	--------------

7.0 Preparation of Apparatus:

- 7.1** The system is drained and refilled with new oil for each test. If the oil is different from that used in the previous test, the drain and refill is done a second time after the new oil has been circulated through the system at a temperature of at least 60°C for at least 5 minutes. The machine is to be operating, disengaged, at about 15 m/s while the oil is circulating.
- 7.2** Filtration - A new filter element (Caterpillar 8J1600) is to be installed whenever oil is added for a new test. If the oil is different than that used in the previous test, install a new filter only with the second refill.
- 7.3** Disc and Plate Installation
- 7.3.1** Friction Disc - Friction material bonded to both sides of a steel core, to be mounted on the flywheel with the test surface toward the steel plate.
- 7.3.2** Plate - Steel plate, to be mounted on the stationary member with the test surface toward the friction disc.
- 7.3.3** Clearance between disc and plate: 0.76 ± 0.05 mm when retracted.
- 7.4** Selection and Definition of Sequences
- 7.4.1** Sequence no. SEQ1275 (to be used for both reference and test fluid).
Twenty second cycle time: engaged 4.0 seconds, disengaged 16.0 seconds.

Phase No.	Phase Repetitions	Speed, m/s	Initial Unit Pressure, kPa	Plot Coefficient Averages and Save Torque Curves at These Cycles:
Initial Measurement for Wear Determination				
1	5	15	350	
2	5	15	1050	
3	100	15	1750	
Second Measurement for Wear Determination				
4	10	15	350	
5	10	15	700	
6	500	15	1050	Each 50th Cycle
Final Measurement for Wear Determination				
7	10	15	350	
8	10	15	700	
9	50	15	1050	
10	15	5	350	15
11	15	5	700	15
12	15	5	1050	15
13	15	5	1400	15
14	15	5	1750	15

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

Automatic Transmission Fluid Requirements

15	15	15	350	15
16	15	15	700	15
17	15	15	1050	15
18	15	15	1400	15
19	15	15	1750	15
20	15	15	1050	15
21	15	17.5	1050	15
22	15	20	1050	15
23	15	21	1050	15
24	15	22	1050	15
25	15	23	1050	15
26	15	24	1050	15
27	15	25	1050	15
28	15	26	1050	15
29	15	27	1050	15
30	15	28	1050	15
31	15	29	1050	15
32	15	30	1050	15
33	15	31	1050	15
34	15	32	1050	15
35	15	33	1050	15
36	15	34	1050	15
37	15	35	1050	15
38	15	36	1050	15
39	15	37	1050	15
40	15	38	1050	15
41	15	39	1050	15
42	15	40	1050	15

Energy limit detection is based on a percentage change in μ_d compared to the average μ_d of the previous phase. In SEQ1275, a 12% change denotes failure.

If the energy limit is exceeded before the schedule is completed, the data from the final cycle will be saved and the run will be ended.

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

7.4.2 Sequence no. SEQ1276 (to be used for both reference and test fluid).

Twenty second cycle time: engaged 4.0 seconds, disengaged 16.0 seconds.

Phase No.	Phase Repetitions	Speed, m/s	Initial Unit Pressure, kPa	Plot Coefficient Averages and Save Torque Curves at These Cycles:
Initial Measurement for Wear Determination				
1	5	15	350	
2	5	15	1050	
3	100	15	1750	
Second Measurement for Wear Determination				
4	10	15	350	
5	10	15	700	
6	500	15	1050	Each 50th Cycle
Final Measurement for Wear Determination				
7	10	15	350	
8	10	15	700	
9	50	15	1050	
10	15	5	350	15
11	15	5	700	15
12	15	5	1050	15
13	15	5	1400	15
14	15	5	1750	15
15	15	15	350	15
16	15	15	700	15
17	15	15	1050	15
18	15	15	1400	15
19	15	15	1750	15
20	15	15	1050	15
21	15	17.5	1050	15
22	15	20	1050	15
23	15	21	1050	15
24	15	22	1050	15
25	15	23	1050	15
26	15	24	1050	15
27	15	25	1050	15
28	15	26	1050	15
29	15	27	1050	15
30	15	28	1050	15
31	15	29	1050	15
32	15	30	1050	15
33	15	31	1050	15

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

Automatic Transmission Fluid Requirements

34	15	32	1050	15
35	15	33	1050	15
36	15	34	1050	15
37	15	35	1050	15
38	15	36	1050	15
39	15	37	1050	15
40	15	38	1050	15
41	15	39	1050	15
42	15	40	1050	15

Energy limit detection is based on a percentage change in μ_d compared to the average μ_d of the previous phase. In SEQ1276, a 12% change denotes failure.

If the energy limit is exceeded before the schedule is completed, the data from the final cycle will be saved and the run will be ended.

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

7.5 General Instructions

7.5.1 Each sequence is to proceed without delay between cycles except to measure disc thickness. That pause is part of the program. If any of the test or safety conditions are not met (such as: test fluid flow too low, bearing temperature too high, desired speed or pressure not reached, etc.), the sequence will be stopped automatically. Except for the following two conditions, the sequence can be continued after the fault is corrected: any interruption of the cycle after the start of Phase 21 in either SEQ1275 or SEQ1276 will invalidate the run; any interruption of the cycle for more than ten minutes, or more than ten interruptions during a run, will invalidate the run.

7.5.2 Thickness measurements are to be made at six equally spaced locations at both ID and OD of the friction material. Mark position 1 on two teeth, count clockwise around the disc 10 teeth to position 2, then another 11 teeth to each of the remaining positions. The starting position can be at any location. The measurements to determine wear must be taken at the same locations on the disc. The disc is to be installed in the machine with position 1 at the marked drive pin.

7.6 Cooling Oil - Fill Requirement: 18.9±0.5 L

Flow rate: 3.78±0.06 L/min. (As indicated on the M1158 machine instrumentation)

7.6.1 Operating Temperature Range - Set point +3/ -10°C

7.6.1.1 The temperature setting will be 82°C for SEQ1275 and SEQ1276.

8.0 Procedure

8.1 Perform the signal conditioner calibration check.

8.2 Perform the force output calibration.

8.3 Edit and select the test directory. Define new one if needed.

8.4 Select and edit the run subdirectory. Define new one if needed.

8.5 Select the sequence to be used.

8.6 Select the disc and plate to be used (specified when the sequence is selected).

8.7 Initiate the test sequence. The machine will control the initial speed, unit pressure and the number of repetitions of each phase.

8.8 Remove the disc and plate for inspection and measurement as required. Check the parts for warpage, measure the disc and reinstall it in the same location and with the same orientation.

8.9 Resume the test sequence. The machine will shut down at the end of the sequence, or earlier if the energy limit is exceeded.

8.10 Produce the printed reports and curves.

8.11 Transfer the test directory to floppy discs when all the runs in it have been completed.

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

9.0 Calculation and Interpretation of Results:

9.1 Equations and Constants

9.1.1 The average dynamic coefficient of friction is calculated by the M1158 machine from stop time, load and initial speed.

$$\mu_d = 2.44037S/Lt \quad (1)$$

Where: μ_d = Average dynamic coefficient of friction

S = Surface speed at mean radius - m/s

L = Unit axial load on friction material - kPa

t = Stop time - s

9.1.2 The static coefficient of friction is calculated by the M1158 machine from torque measured at the instant that sliding velocity reaches zero.

$$\mu_s = 0.3121T/L \quad (2)$$

Where: μ_s = Static coefficient of friction

L = Unit load on friction material - kPa

T = Lockup torque - N·m

9.1.3 In equations 1 and 2 the constants are based on:

Inertia = 1.003 N·m·s²

Friction material area = 0.02499 m²

Mean radius of friction material = 0.1283 m

9.1.4 Average thickness and wear values are calculated by the M1158 machine from disc measurements entered by the operator. The average thickness is the numerical average of the 12 thickness measurements; the wear is the change in average thickness.

9.2 Oil Requirements - Fluids will be evaluated by comparing their performance under controlled conditions with the performance of a reference fluid under nearly identical conditions. The controlled conditions include the test machine, test procedure, friction disc and reaction plate.

9.2.1 The friction discs and reaction plates will be supplied in sets of matched parts so that the performance of the test fluid on a given machine can be compared directly with the performance of the reference fluid (CT5797) on the same machine. The test sponsor will provide (at nominal cost) the reference fluid. When the discs and plates in a given set have been depleted and a new set is obtained, a new performance baseline with the new set and the reference fluid must be established.

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

9.2.2 A qualified LINK 1158 Oil/Friction Test Machine can be used for a reference test to establish a performance baseline for fluid certification work with a set of friction discs and reaction plates from an oil test set. The results of the reference test will determine the static friction coefficient limits for oil certification within that test kit. The M1158 software will read data from the reference test, calculate the values for the limits and save them as the limit files to be used with that specific oil test kit.

9.2.2.1 If any one of the baseline runs with the reference fluid reaches its energy limit at a speed equal to or lower than that given in 9.2.4, that reference run is invalid and must be repeated.

9.2.2.2 Instructions for generating the reference runs to be used are:

Before a set of discs and plates is used for certification testing of candidate fluids, reference tests must be done using the set parts and a reference fluid specified or supplied by Caterpillar Inc. A SEQ1275 and a SEQ1276 run will be made. At the completion of the reference runs, the reference files are to be generated or updated as follows:

Go to the print report menu.

Select the reference test

Select the report format for that friction material.

Press F7 and <Enter>. The limit file will be automatically updated using the factors defined in Figure 3.

9.2.2.3 A lab can repeat any of the reference runs on another disc and plate from the kit if they desire, realizing that fewer complete sets will remain for testing of candidate fluids. The final reference runs made will be used to establish the baseline.

9.2.3 An oil to be certified as an AT-1 oil must have performance characteristics defined in 9.3.5.

FRICITION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
----------------------	----------------------	--------------

9.2.4 The energy limit must not occur at (during an engagement from) a speed lower than 30 m/s.

9.2.5 Total wear of the friction disc must not exceed 0.07 mm.

9.2.6 Successful completion means that for each sequence the coefficients stay above the specified minimum, the energy limit is at a speed at or above the minimum, and the total wear is less than or equal to the maximum allowable. If the first attempt in any run is unsuccessful, two succeeding successful completions of that run will meet the requirement.

9.2.7 Except as described in 9.3 (two-run averaging), any one of the following conditions constitutes failure of a candidate oil:

- The "Wring-in Ratio" (defined as the ratio of the static coefficient of friction to the dynamic coefficient of friction, or μ_s/μ_d) exceeds 1.50 for SEQ1275 or 1.25 for SEQ1276 at a speed of 25 m/s and a unit axial load of 1050 kPa.

- The energy limit, as determined by the limit detection option of the software, is reached and the sequence is stopped at a speed lower than that indicated by the vertical limit line on the coefficient vs speed plot.

- The disc wear is greater than the allowable maximum for either run.

- The disc or plate becomes dished or warped at a speed less than the minimum acceptable energy limit even if the energy limit is not detected.

- The friction material is structurally damaged by erosion or chemical or mechanical forces during the test.

9.3 Two-run averaging is allowed as follows for the values of friction coefficient:

9.3.1 If the static friction coefficient at 25 m/s and 1050 kPa for either run is slightly below the low limit based on the reference fluid, or if the wring-in ratio at 25 m/s and 1050 kPa is greater than allowed, a second run with that material may be made and the average coefficient values of the two runs reported. The friction level of the oil with that material is considered passing if the averaged values lie within the given limits. Neither the static friction coefficient limit nor the wring-in coefficient ratio limit will be adjusted from the single-run value.

9.3.3 Neither the values of energy limit, nor the speed at which warpage might occur, nor total wear are subject to multiple-run averaging.

9.3.4 The report format files identified in the following table are to be used in printing the respective test reports. LINK M1158 limit files will not be used, but a 1-Run report in the format below is to be generated for each reference-oil run and each test-oil run.

Sequence	Limit Files		Report Formats
	1-Run	2-Run	1-Run
SEQ1275	LIM1275	LIM1275	REP1275
SEQ1276	LIM1276	LIM1276	REP1276

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

9.3.5 For both SEQ1275 and SEQ1276, the minimum acceptable static friction coefficients for the test fluid at 25 m/s and 1050 kPa are, for either a single run or a two-run average, 85% of the corresponding coefficients measured for the reference fluid.

9.3.6 The maximum acceptable wring-in ratios at 25 m/s and 1050 kPa are, for either a single run or a two-run average, 1.50 for SEQ1275 and 1.25 for SEQ1276.

9.4 Test Discontinuation

Any one of the following conditions, if the results are otherwise satisfactory and neither the friction disc nor the reaction plate show damage or warping, would constitute sufficient reason to abort a run without classifying it as a failure of the oil:

The machine is shut down because of low oil level. (The M1158 calls this a spurious fault, stops the run and turns off the circulating pump.)

The energy limit of the friction material is exceeded because a feedback or instrumentation problem causes the input force or input speed to be far greater than the desired amount.

The air supply fails, making it impossible to achieve the required pressures.

The drive motor does not achieve the required speeds.

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

10.0 Report:

10.1 All reference reports shall be submitted to the address given in the introduction.

10.2 Data presentation

10.2.1 Verification of calibration and setup will be included with each report (Plot type: 6, example in Figure 3).

10.2.2 Coefficient of friction will be plotted against no. of cycles, unit pressure and speed (Plot types 3, 1 and 2, examples in Figures 4, 5 and 6, respectively).

10.2.3 Torque vs time from each of the last 6 recorded cycles of the run will be plotted to show the changes which occurred at the energy limit. (Plot type 5, example in Figure 7).

10.2.4 Disc thickness measurements and average wear will be reported in tabular form (Example in Figure 4).

10.2.5 A print-out of the M1158.VAL file will be included with all reference reports. This can be done with the following command entered at the "c:" prompt:

Type "M1158.VAL>PRN".

10.2.6 The summary data file and the cycles recorded in full in all test sequences are to be retained on diskette by the testing lab for at least seven years for future reference.

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

11.0 Precision and Bias:

11.1 No statement is made about either the precision or bias of this method for measuring the frictional characteristics of a hydraulic oil, since the result merely states whether there is conformance to the criteria for success specified in the procedure.

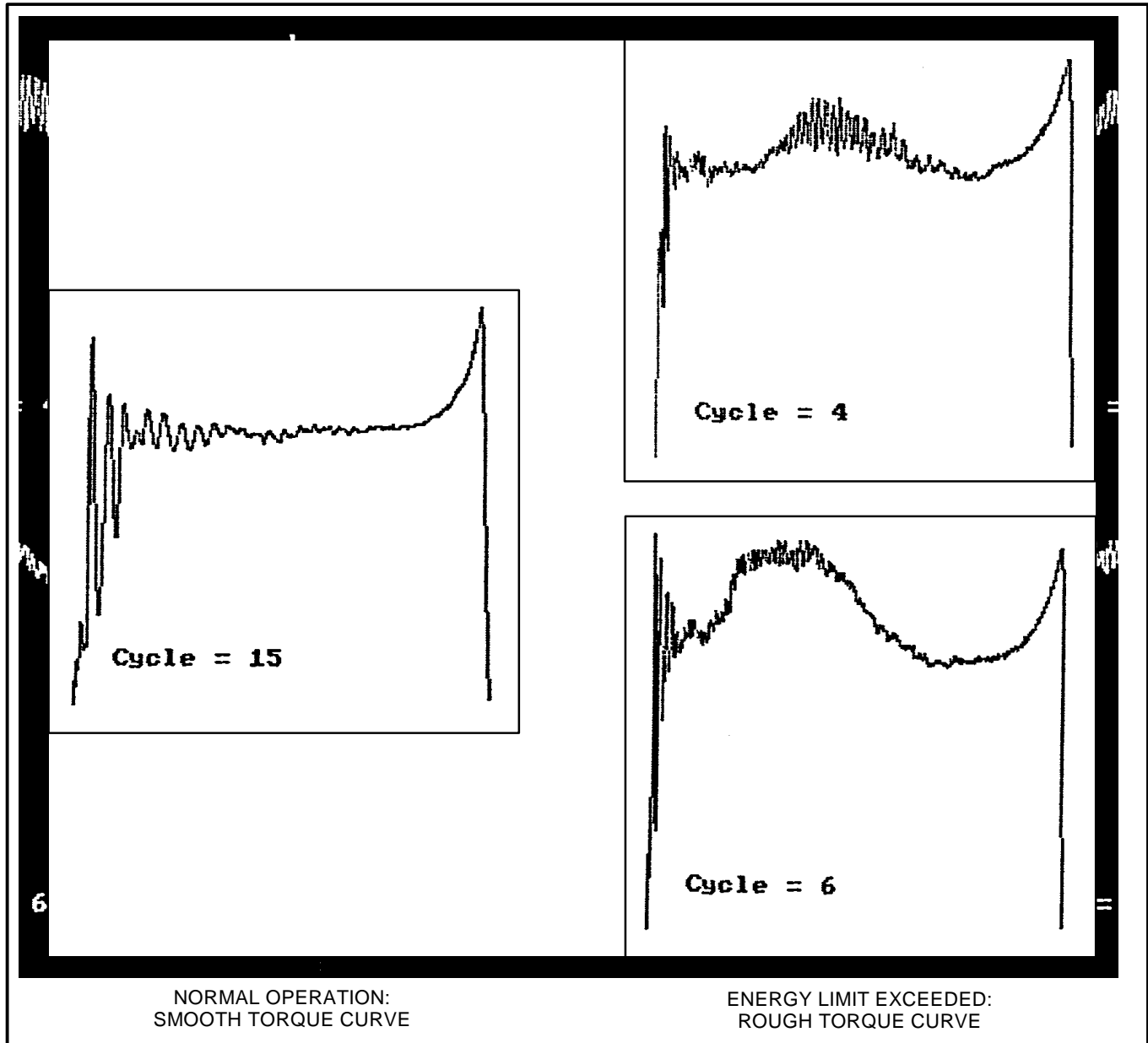


Figure 1 – Typical Torque Traces From the Link 1158 Oil/Friction Test Machine

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

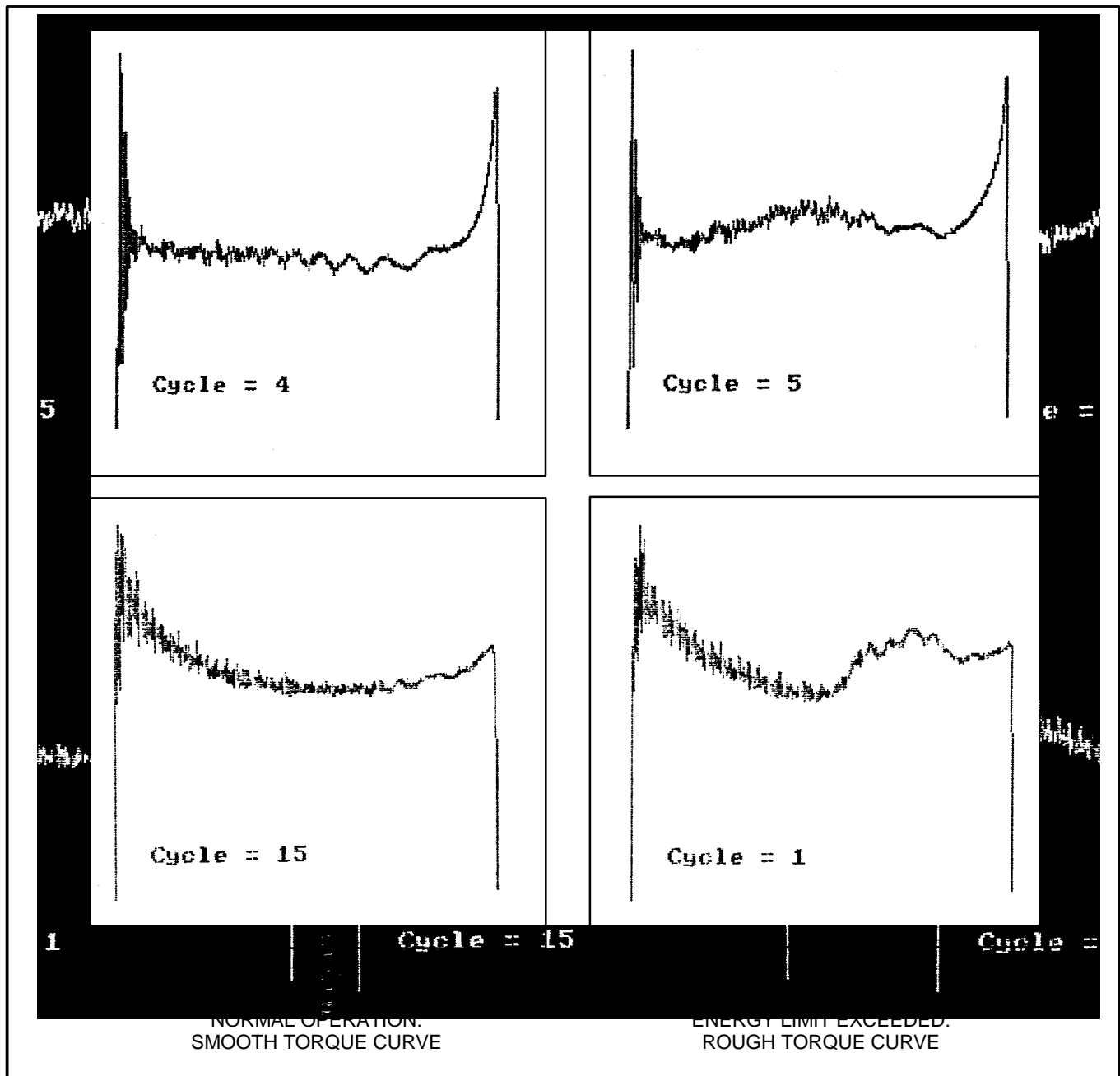


Figure 2 – Typical Torque Traces from the Link 1158 Oil/Friction Test Machine

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

XYZ Laboratories
AT-1 Certification of Oil Sample # 12345-C

Test Name:	12345C01
Test Date:	08/27/03
Test Description:	First Certification Test of 12345-C
Oil Type:	Hometown Oil Co.
Viscosity:	ATF
Miscellaneous:	----
Software Version:	1.2
Run Name & Desc:	N0690032
Run Date:	08/27/03
Oil Temperature:	82° C
Oil Flow Rate:	3.78 liter/minute
Operator:	SJones
Remarks:	----
Sequence Name:	SEQ1275
Remarks:	Use 101-8535 disc and 1Y0726 plate
Number Of Cycles Run:	1126
Machine:	N
Coast Down Check Run:	08/20/03
Result:	79.88 seconds
Inertia Check Run:	08/20/96
Result:	1.0239 N-m-s ²
Disc Name & Desc:	High-energy Paper
Material:	Wellman Friction Products 401-3.5
Groove Pattern:	2-37 Multiple - Parallel
Miscellaneous:	Use with 1Y0726 steel plate
Outer Diameter (mm):	285.80
Inner Diameter (mm):	223.20
Mean Radius (mm):	128.21
Batch Number:	C592
Remarks:	----
Plate Name & Desc:	1Y0726 - steel plate
Surface:	0.70 To 1.00 micron roughness
Miscellaneous:	----
Batch Number:	----
Remarks:	0.76 micron measured roughness
Report Limit Name:	LIM1275 - Reference Run: N0690018
Limit File Generated:	08/06/03
Report Format Name:	REP1275 – High-Energy Paper

Figure 3. Report Title Page - Example

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

101-8535 Disc Thickness						
Location	Outer Diameter			Inner Diameter		
	M1	M2	M3	M1	M2	M3
1	4.90	4.87	4.87	4.90	4.88	4.87
2	4.90	4.87	4.86	4.91	4.87	4.86
3	4.91	4.88	4.87	4.91	4.88	4.87
4	4.90	4.87	4.87	4.90	4.87	4.87
5	4.89	4.87	4.86	4.90	4.87	4.87
6	4.90	4.87	4.87	4.91	4.87	4.87
Avg	4.90	4.87	4.87	4.91	4.87	4.87

Compression Set Average Wear: 0.030
M2-M3 Average Wear: 0.006
Total Wear (All measurements in mm): 0.036

Figure 4 -- Dynamic Coefficient vs Number of Cycles

FRICION PROPERTIES	DATE	SECTION
	Feb. 1, 2007	7

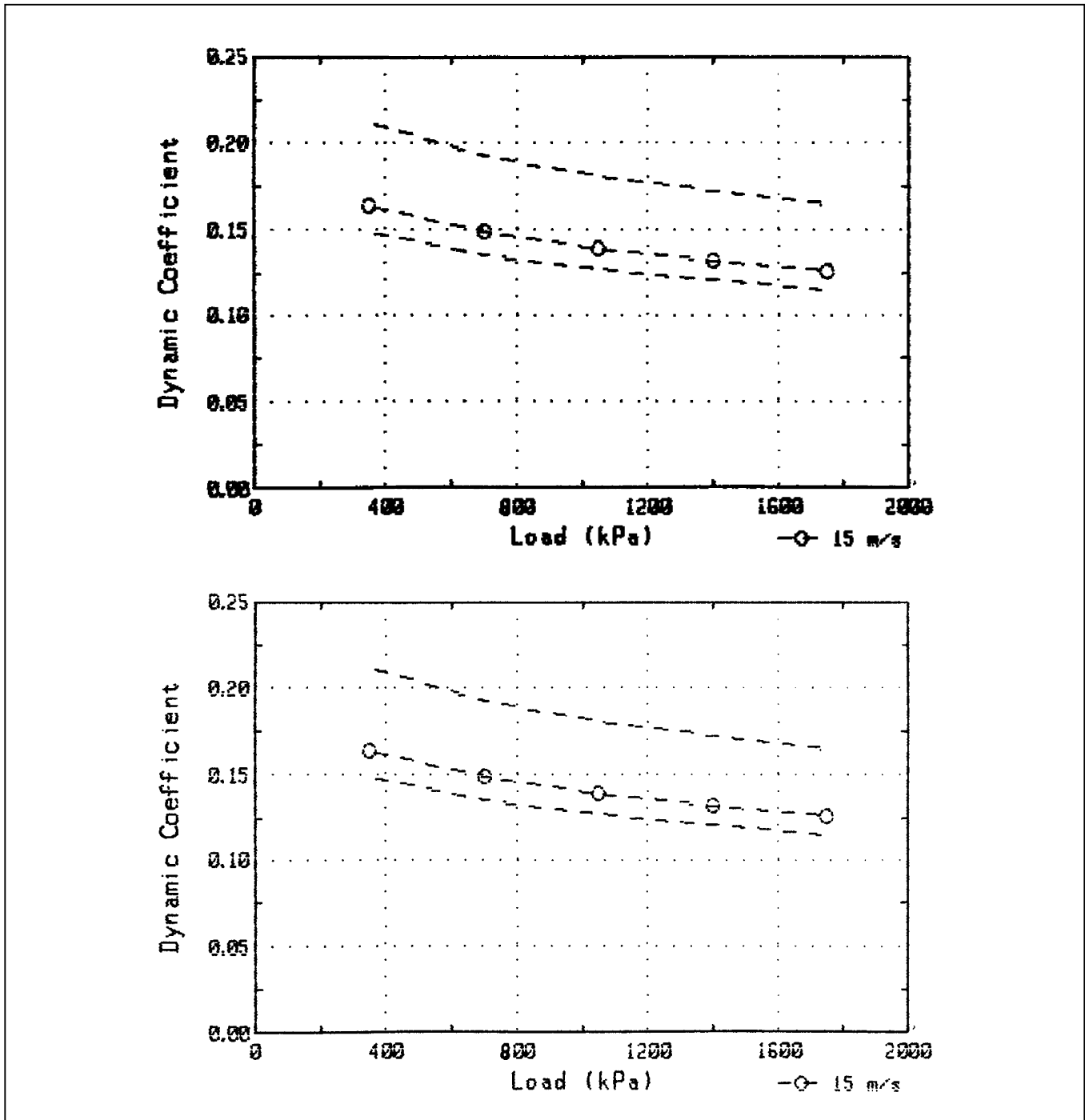


Figure 5 - Dynamic and Static Coefficients vs Unit Pressure

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

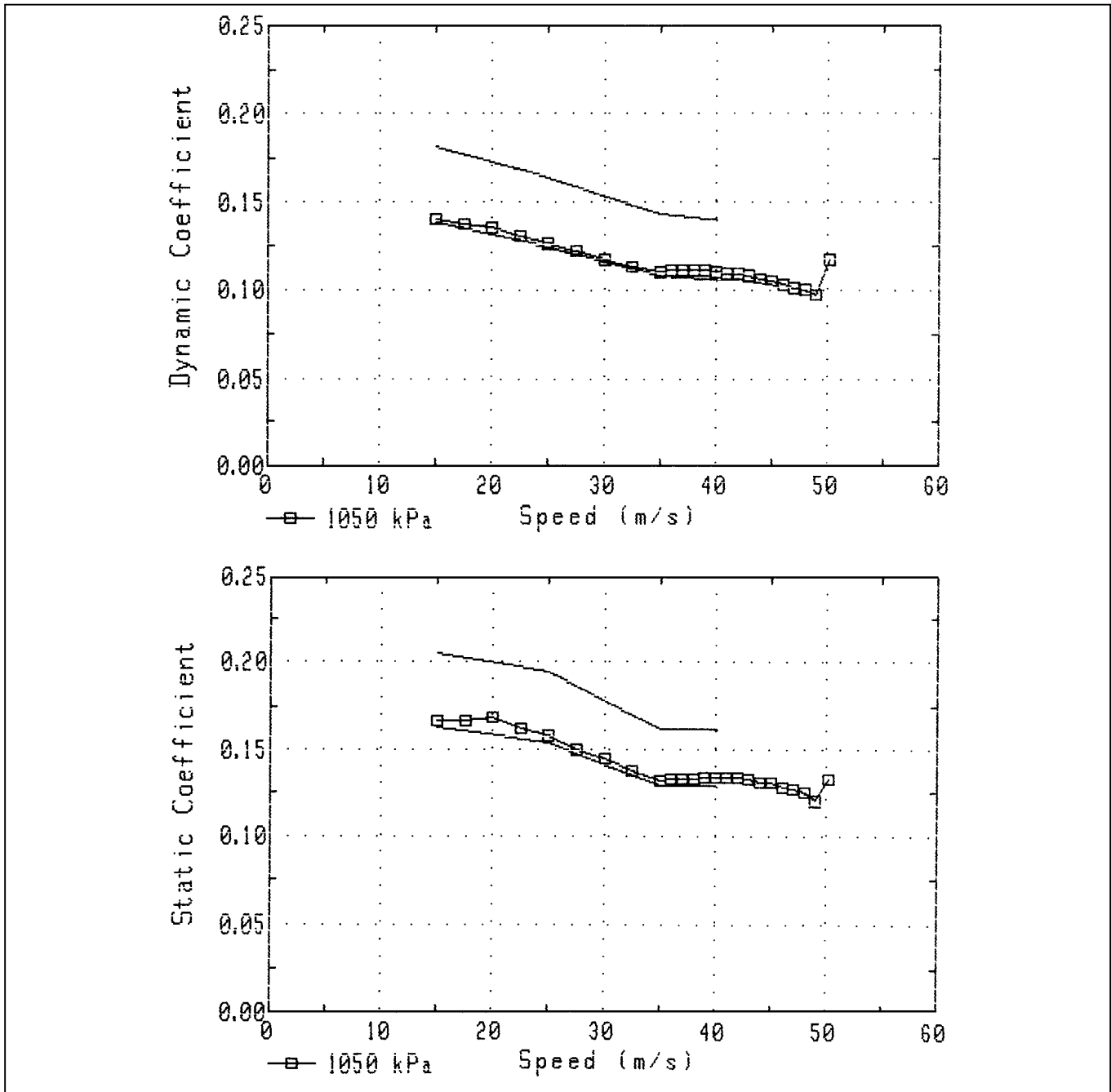


Figure 6 - Dynamic and Static Coefficients vs initial Speed

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

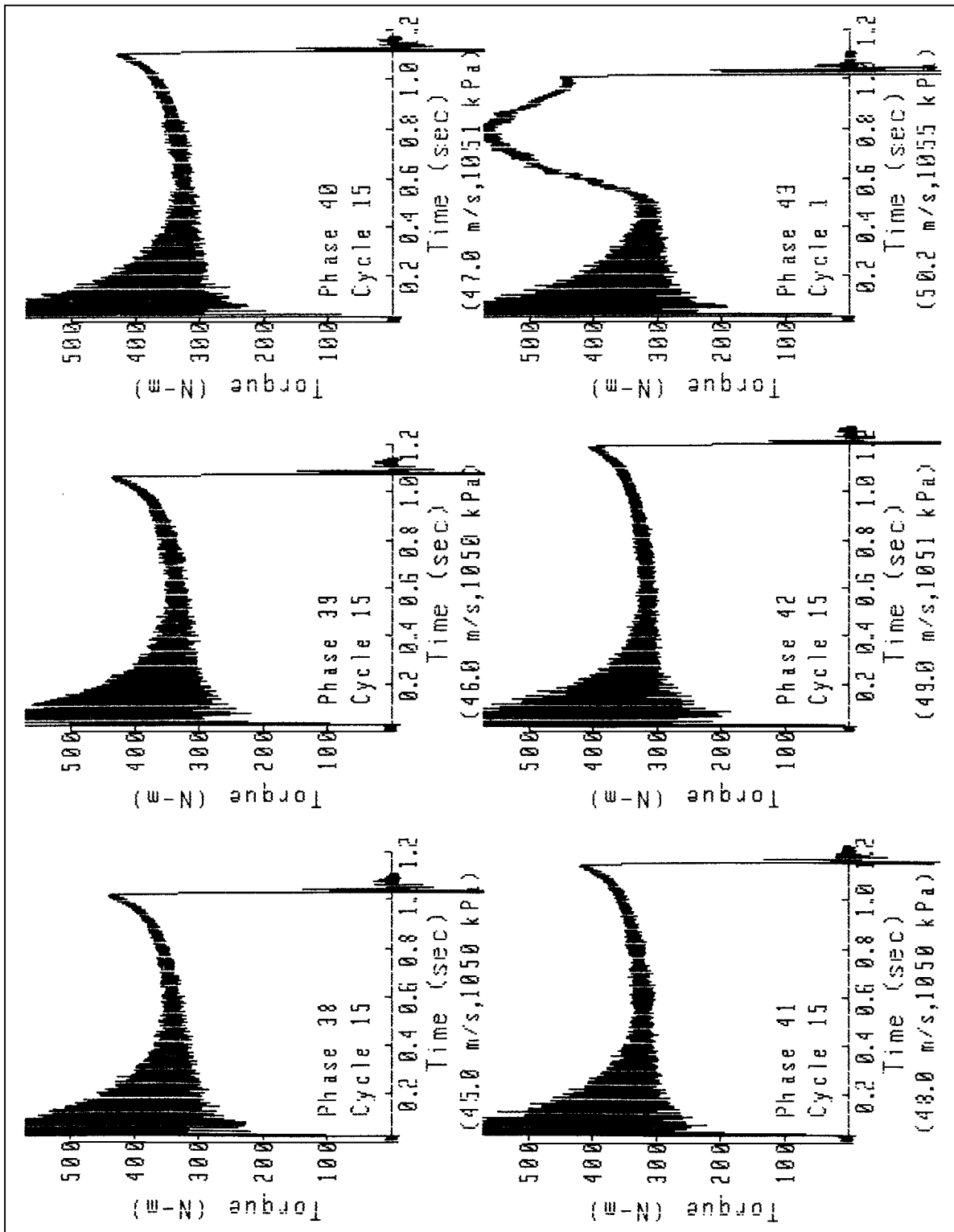


FIGURE 7 – TORQUE VS TIME

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

Automatic Transmission Fluid Requirements

Annex I. Sequence Definitions

Sequence: SEQ1275

Remarks: Use 101-8535 Disc and 1Y0726 Plate

Constant Factors:

Acceleration Time - 8.00 s Torque Threshold - 50 N·m
 Soak Time - 4.00 s Sample Rate - 5000/s
 Cycle Time - 20.00 s Cycle Type - Normal

No.	Phase Name	Apply Speed	Cool. Speed	Load	No. of Cycles	Store Intv.	Det. Type	Pause	Allow. Var.
1	1WU05A15	15.00	4.00	350	5	0	0	N	0
2	1WU05C15	15.00	4.00	1050	5	0	0	N	0
3	1WU1CE15	15.00	4.00	1750	100	0	0	Y	0
4	2WU1XA15	15.00	4.00	350	10	0	0	N	0
5	2WU1XB15	15.00	4.00	700	10	0	0	N	0
6	WER5CC15	15.00	4.00	1050	500	50	0	Y	0
7	3WU1XA15	15.00	4.00	350	10	0	0	N	0
8	3WU1XB15	15.00	4.00	700	10	0	0	N	0
9	3WU5XC15	15.00	4.00	1050	50	0	0	N	0
10	PRT15A05	5.00	4.00	350	15	15	0	N	0
11	PRT15B05	5.00	4.00	700	15	15	3	N	12
12	PRT15C05	5.00	4.00	1050	15	15	3	N	12
13	PRT15D05	5.00	4.00	1400	15	15	3	N	12
14	PRT15E05	5.00	4.00	1750	15	15	3	N	12
15	PRT15A15	15.00	4.00	350	15	15	0	N	0
16	PRT15B15	15.00	4.00	700	15	15	3	N	12
17	PRT15C15	15.00	4.00	1050	15	15	3	N	12
18	PRT15D15	15.00	4.00	1400	15	15	3	N	12
19	PRT15E15	15.00	4.00	1750	15	15	3	N	12
20	ST15C150	15.00	4.00	1050	15	15	0	N	0
21	SS15C175	17.50	4.00	1050	15	15	3	N	12
22	SS15C200	20.00	4.00	1050	15	15	4	N	12
23	SS15C210	21.00	4.00	1050	15	15	4	N	12
24	SS15C220	22.00	4.00	1050	15	15	4	N	12
25	SS15C230	23.00	4.00	1050	15	15	4	N	12
26	SS15C240	24.00	4.00	1050	15	15	4	N	12
27	SS15C250	25.00	4.00	1050	15	15	4	N	12
28	SS15C260	26.00	4.00	1050	15	15	4	N	12
29	SS15C270	27.00	4.00	1050	15	15	4	N	12
30	SS15C280	28.00	4.00	1050	15	15	4	N	12
31	SS15C290	29.00	4.00	1050	15	15	4	N	12
32	SS15C300	30.00	4.00	1050	15	15	4	N	12
33	SS15C310	31.00	4.00	1050	15	15	4	N	12
34	SS15C320	32.00	4.00	1050	15	15	4	N	12
35	SS15C330	33.00	4.00	1050	15	15	4	N	12
36	SS15C340	34.00	4.00	1050	15	15	4	N	12
37	SS15C350	35.00	4.00	1050	15	15	4	N	12
38	SS15C360	36.00	4.00	1050	15	15	4	N	12
39	SS15C370	37.00	4.00	1050	15	15	4	N	12
40	SS15C380	38.00	4.00	1050	15	15	4	N	12
41	SS15C390	39.00	4.00	1050	15	15	4	N	12
42	SS15C400	40.00	4.00	1050	15	15	4	N	12

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

Automatic Transmission Fluid Requirements

Annex I, cont'd. Sequence Definitions

Sequence: SEQ1276

Remarks: Use 190-3534 Disc and 1Y0726 Plate

Constant Factors:

Acceleration Time - 8.00 s Torque Threshold - 50 N·m
 Soak Time - 4.00 s Sample Rate - 5000/s
 Cycle Time - 20.00 s Cycle Type - Normal

No.	Phase Name	Apply Speed	Cool. Speed	Load	No. of Cycles	Store Intv.	Det. Type	Pause	Allow. Var.
1	1WU05A15	15.00	4.00	350	5	0	0	N	0
2	1WU05C15	15.00	4.00	1050	5	0	0	N	0
3	1WU1CE15	15.00	4.00	1750	100	0	0	Y	0
4	2WU1XA15	15.00	4.00	350	10	0	0	N	0
5	2WU1XB15	15.00	4.00	700	10	0	0	N	0
6	WER5CC15	15.00	4.00	1050	500	50	0	Y	0
7	3WU1XA15	15.00	4.00	350	10	0	0	N	0
8	3WU1XB15	15.00	4.00	700	10	0	0	N	0
9	3WU5XC15	15.00	4.00	1050	50	0	0	N	0
10	PRT15A05	5.00	4.00	350	15	15	0	N	0
11	PRT15B05	5.00	4.00	700	15	15	3	N	12
12	PRT15C05	5.00	4.00	1050	15	15	3	N	12
13	PRT15D05	5.00	4.00	1400	15	15	3	N	12
14	PRT15E05	5.00	4.00	1750	15	15	3	N	12
15	PRT15A15	15.00	4.00	350	15	15	0	N	0
16	PRT15B15	15.00	4.00	700	15	15	3	N	12
17	PRT15C15	15.00	4.00	1050	15	15	3	N	12
18	PRT15D15	15.00	4.00	1400	15	15	3	N	12
19	PRT15E15	15.00	4.00	1750	15	15	3	N	12
20	ST15C150	15.00	4.00	1050	15	15	0	N	0
21	SS15C175	17.50	4.00	1050	15	15	3	N	12
22	SS15C200	20.00	4.00	1050	15	15	4	N	12
23	SS15C210	21.00	4.00	1050	15	15	4	N	12
24	SS15C220	22.00	4.00	1050	15	15	4	N	12
25	SS15C230	23.00	4.00	1050	15	15	4	N	12
26	SS15C240	24.00	4.00	1050	15	15	4	N	12
27	SS15C250	25.00	4.00	1050	15	15	4	N	12
28	SS15C260	26.00	4.00	1050	15	15	4	N	12
29	SS15C270	27.00	4.00	1050	15	15	4	N	12
30	SS15C280	28.00	4.00	1050	15	15	4	N	12
31	SS15C290	29.00	4.00	1050	15	15	4	N	12
32	SS15C300	30.00	4.00	1050	15	15	4	N	12
33	SS15C310	31.00	4.00	1050	15	15	4	N	12
34	SS15C320	32.00	4.00	1050	15	15	4	N	12
35	SS15C330	33.00	4.00	1050	15	15	4	N	12
36	SS15C340	34.00	4.00	1050	15	15	4	N	12
37	SS15C350	35.00	4.00	1050	15	15	4	N	12
38	SS15C360	36.00	4.00	1050	15	15	4	N	12
39	SS15C370	37.00	4.00	1050	15	15	4	N	12
40	SS15C380	38.00	4.00	1050	15	15	4	N	12
41	SS15C390	39.00	4.00	1050	15	15	4	N	12
42	SS15C400	40.00	4.00	1050	15	15	4	N	12

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------

Annex II. Disc File

Name: 101-8535 Description: High-Energy Paper
 Material: Wellman Friction Products 401-3.5
 Groove Pattern: 2-37 Multiple Parallel
 Miscellaneous: Use with 1Y0726 steel plate
 Outer Diam (mm): 285.80
 Inner Diam (mm): 223.20
 Mean Radius (mm): 128.21

Name: 190-3534 Description: Extended-Life Friction Material
 Material: Wellman Friction Products 653-4
 Groove Pattern: 2-37 Multiple Parallel
 Miscellaneous: Use with 1Y0726 steel plate
 Outer Diam (mm): 285.80
 Inner Diam (mm): 223.20
 Mean Radius (mm): 128.21

Annex III. Plate File

Name: 1Y0726 Description (used with both SEQ1275 and SEQ1276)
 Surface: 0.30 micron maximum roughness
 Misc.: Install the side marked with the average roughness
 toward the friction disc; the side marked "Do Not Use"
 toward the torque arm.

Annex IV. Report Format Files

Name: REP1275 Description: High-Energy Paper

1	6	0 - 0	
2	3	6 - 6	
3	1	0 - 0	15 - 19
4	2	20 - 42	
5	5	20 - 42	

Name: REP1276 Description: Extended-Life Friction Material

1	6	0 - 0	
2	3	6 - 6	
3	1	0 - 0	15 - 19

FRICITION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
----------------------	----------------------	--------------

Automatic Transmission Fluid Requirements

4	2	20 - 42
5	5	20 - 42

Annex V. Report Format Files

Page	Plot Type	Phase Range 1	Phase Range 2
Name: MULT1275			
1	6	0 - 0	
2	3	6 - 6	
3	1	0 - 0	15 - 19
4	2	20 - 42	

Name: MULT1276			
1	6	0 - 0	
2	3	6 - 6	
3	1	0 - 0	15 - 19
4	2	20 - 42	

FRICION PROPERTIES	DATE Feb. 1, 2007	SECTION 7
--------------------	----------------------	--------------